Submitted: March 28, 2008

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)

ATTY DOCKET NO.

4002-3359/PC635.02 (03190.000008)

APPLICATION NO.

10/620,529

APPLICANT

Lukas EISERMANN et al.

FILING DATE

July 16, 2003

GROUP

	<u> </u>	001y 10, 2003		<u> </u>	33
	FOREIGN	PATENT DOCUMENTS			
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
2 263 842	07/04/1974	Germany			
2 724 108	03/08/1996	France			
01/01893	01/11/2001	WIPO			Abstract
0599 419	06/01/1994	Europe			Abstract
2261446	10/24/1990	Japan			Abstract
28 04 936	02/08/1979	Germany			Abstract
624573	08/14/1981	Germany			
0 333 990	07/21/1993	Europe			
2 742 653	06/27/1997	France			
2 737 656	02/14/1997	France			
97/23175	07/03/1997	WIPO			Abstract
30 23 353	04/09/1981	Germany			Yes
0	THER DOCUMENT(S) (Inclu	iding Author, Title, Date, Pertinent Pages, Etc.)			
Administration Inventor Replacement Verse	estigational Devices Ses Circumferential	e Exemption Study of the ProDi Fusion for the Treatment of 1-L	sc®-L To	tal Disc	
1		l, eds., <i>Total Knee Arthroplasty</i>	; A Comp	prehensive	•
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	2 263 842 2 724 108 01/01893 0599 419 2261446 28 04 936 624573 0 333 990 2 742 653 2 737 656 97/23175 30 23 353  Jack Zigler, et al., Administration Inv. Replacement Verse Disease, SPINE, Vo. T. Hoogland, et al., Spacer in Human C. Russel C. Huang, et Replacement, Jou David S. Hungerfor Approach, Chapter Patrick Tropiano, et Minimum Follow-u, Minimum Follow-u, et al.	2 263 842 07/04/1974 2 724 108 03/08/1996 01/01893 01/11/2001 0599 419 06/01/1994 2261446 10/24/1990 28 04 936 02/08/1979 624573 08/14/1981 0 333 990 07/21/1993 2 742 653 06/27/1997 2 737 656 02/14/1997 97/23175 07/03/1997 30 23 353 04/09/1981 OTHER DOCUMENT(S) (Included in the process of t	DOCUMENT   DATE   COUNTRY	DOCUMENT   DATE   COUNTRY   CLASS	DOCUMENT   DATE

APPLICATION NO. FORM PTO 1449 (modified) ATTY DOCKET NO. 4002-3359/PC635.02 10/620,529 U.S. DEPARTMENT OF COMMERCE (03190.000008)PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) **APPLICANT** (Use several sheets if necessary) Lukas EISERMANN et al. Submitted: March 28, 2008 GROUP FILING DATE July 16, 2003 3733 U.S. PATENT DOCUMENTS \*EXAMINER DOCUMENT FILING DATE INITIAL NUMBER DATE NAME CLASS SUBCLASS IF APPROPRIATE 6,482,233 11/19/2002 Aebi et al. 6.102.954 08/15/2000 Albrektsson et al. 08/19/1997 Allen 5,658,335 04/09/2002 Arcand 6,368,353 5,876,447 03/02/1999 Arnett 02/08/2000 6,022,376 Assell et al. 6.010.502 01/04/2000 **Bagby** 6,371,986 04/16/2002 **Bagby** OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.) Mark Szpalski, et al., Spine Arthroplasty: a historical review, Eur Spine J (2002). Anthony G. Viscogliosi, et al., Spine Arthroplasty: Spine Industry Analysis Series, Viscogliosi Bros., LLC, (Nov. 2001). Jeanette E. Ahren, Ph.D., et al., Normal Joint Mobility is Maintained with an Artificial Disc Prosthesis, LINK (1999). Patrick Tropiano, M.D., et al., Lumbar Total Disc Replacement Surgical Technique, J. Bone & Surgery, Inc., Vol. 88-A, Supp. I, Part 1 (March 2006). Backup: Spinal Arthroplasty, Springer (Jan. 2001). Backup: Isthmic Spondylonlistheses: Reduction verse In-Situ Fusion, Springer (Feb. 2002). Backup: Early Experience with PRODISC in United States, Springer (Mar. 2002). David S. Hungerford, M.D., et al., Preliminary Experience with a Total Knee Prosthesis with Porous Coating Used without Cement, Clinical Orthopedics and Related Research, No. 176, June 1983, pp. 95-107.

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	5,282,868	02/01/1994	Bahler				
	5,674,294	10/07/1997	Bainville et al.				
	5,865,848	02/02/1999	Baker				
	5,271,737	12/21/1993	Baldwin et al.				
	6,203,923	03/20/2001	Bansleben et al.				
	5,047,055	09/10/1991	Bao et al.				
	5,192,326	03/09/1993	Bao et al.				
	5,534,028	07/09/1996	Bao et al.				
	5,976,186	11/02/1999	Bao et al.				
	5,236,460	08/17/1993	Barber				
	6,582,715	06/24/2003	Barry et al.				
	5,171,280	12/15/1992	Baumgartner				
	5,320,644	06/14/1994	Baumgartner				
	5,370,697	12/06/1994	Baumgartner				
	5,755,797	05/26/1998	Baumgartner				
	5,888,224	03/30/1999	Beckers et al.				
	5,458,642	10/17/1995	Beer et al.				
	6,235,059	05/22/2001	Benezech et al.				
	6,214,005	04/10/2001	Benzel et al.				
	5,480,442	01/02/1996	Bertagnoli				
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	5,571,109	11/05/1996	Bertagnoli				
	5,725,582	03/10/1998	Bevan et al.				
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	6,033,438	03/07/2000	Bianchi et al.				
	5,609,637	03/11/1997	Biedermann et al.				
	5,702,451	12/30/1997	Biedermann et al.				
	5,972,031	10/26/1999	Beidermann et al.				
	5,989,290	11/23/1999	Biedermann et al.				
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	5,702,450	12/30/1997	Bisserie				
	5,344,458	09/06/1994	Bonutti				
	6,099,531	08/08/2000	Bonutti				
	4,875,474	10/24/1989	Border				
	6,159,211	12/12/2000	Boriani et al.				
	5,146,933	09/15/1992	Boyd				
	6,277,149	08/21/2001	Boyle et al.				
	5,192,327	03/09/1993	Brantigan				
	5,425,772	06/20/1995	Brantigan				
	5,766,253	06/16/1998	Brosnahan, III				
	6,102,948	08/15/2000	Brosnahan, III				
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	5,282,863	02/01/1994	Burton						
	5,827,328	10/27/1998	Buttermann						
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	3,510,883	05/12/1970	Cathcart III						
	5,373,860	12/20/1994	Catone						
	6,299,642	10/09/2001	Chan						
	6,129,763	10/10/2000	Chauvin et al.						
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	6,240,926	06/05/2001	Chin Gan et al.						
	5,397,361	03/14/1995	Clark						
	5,888,222	03/30/1999	Coates et al.						
	5,989,289	11/23/1999	Coates et al.						
	5,443,515	08/22/1995	Cohen et al.						
	5,509,934	04/23/1996	Cohen						
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	5,651,789	07/29/1997	Cotrel			
	5,702,486	12/30/1997	Craig et al.			
	6,277,150	08/21/2001	Crawley et al.			
	5,879,385	03/09/1999	Crockard et al.			
	5,037,438	08/06/1991	Davidson			
	4,892,545	01/09/1990	Day et al.			
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	Des. 243,286	02/01/1977	Deyerle			
	4,874,389	10/17/1989	Downey			
	5,035,716	07/30/1991	Downey			
	5,147,404	09/15/1992	Downey			
	6,149,651	11/21/2000	Drewry et al.			
	6,096,079	08/01/2000	Eaton			
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	2004/0220670	11/04/2004	Eisermann et al.			
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	5,904,719	05/18/1999	Errico et al.				
	5,405,393	04/11/1995	Falkenström				
	6,248,131	06/19/2001	Felt et al.				
	6,443,988	09/03/2002	Felt et al.				
	5,571,191	11/05/1996	Fitz				
	Re. 36,758	06/27/2000	Fitz				
	6,193,757	02/27/2001	Foley et al.				
	5,002,576	03/26/1991	Fuhrmann et al.				
	5,964,807	10/12/1999	Gan et al.				
	5,397,363	03/14/1995	Gelbard				
	5,766,254	06/16/1998	Gelbard				
	5,888,221	03/30/1999	Gelbard				
	5,195,951	03/23/1993	Giampapa				
	5,421,831	06/06/1995	Giampapa				
	5,683,463	11/04/1997	Godefroy et al.				
	5,549,680	08/27/1996	Gordon				
	6,146,421	11/14/2000	Gordon et al.				
	5,562,737	10/08/1996	Graf				
	6,241,771	06/05/2001	Gresser et al.				
	5,766,196	06/16/1998	Griffiths				
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	6,096,081	08/01/2000	Grivas et al.			
	5,306,308	04/26/1994	Gross et al.			
	3,740,769	06/26/1973	Haboush			
	5,893,889	04/13/1999	Harrington			
	4,759,769	07/26/1988	Hedman et al.			
	5,383,931	01/24/1995	Hehli et al.			
	5,766,199	06/16/1998	Heisler et al.			
	5,405,391	04/11/1995	Henderson et al.			
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	4,946,378	08/07/1990	Hirayama et al.			
	6,045,579	04/04/2000	Hochshuler et al.			
	6,080,193	06/27/2000	Hochshuler et al.			
	6,132,466	10/17/2000	Hoffman et al.			
	4,936,863	06/26/1990	Hofmann			
	6,171,339	01/09/2001	Houfburg et al.			
	6,214,050	04/10/2001	Huene			
	5,919,235	07/06/1999	Husson et al.			
	6,165,218	12/26/2000	Husson et al.			
	6,660,037	12/09/2003	Husson et al.			
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	4,997,432	03/05/1991	Keller			
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	5,489,308	02/06/1996	Kuslich et al.				
	5,549,679	08/27/1996	Kuslich				
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	6,187,043	02/13/2001	Ledergerber				
	5,766,198	06/16/1998	Li				
	6,039,761	03/21/2000	Li et al.				
	5,716,416	02/10/1998	Lin				
	6,224,629	05/01/2001	Lin et al.				
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	5,062,850	11/05/1991	MacMillan et al.			
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	6,413,278	07/02/2002	Marchosky			
	4,622,959	11/18/1986	Marcus			
	6,251,140	06/26/2001	Marino et al.			
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	7,204,852	04/17/2007	Marnay et al.			
	6,132,464	10/17/2000	Martin			
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	6,168,631	01/02/2001	Maxwell et al.			
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	6,146,420	11/14/2000	МсКау			
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	6,193,755	02/27/2001	Metz-Stavenhagen et al.			
	5,522,899	06/04/1996	Michelson			
	6,080,155	06/27/2000	Michelson			
	6,123,705	09/26/2000	Michelson			
	6,149,650	11/21/2000	Michelson			
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	6,302,914	10/16/2001	Michelson			
	6,923,810	08/02/2005	Michelson			
	6,136,031	10/24/2000	Middleton			
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10/620,529

APPLICANT

Lukas EISERMANN et al.

FILING DATE

July 16, 2003

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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LIST OF REFERENCES CITED BY APPLICANT(S)

(Use several sheets if necessary)

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ATTY DOCKET NO. APPLICATION NO. FORM PTO 1449 (modified) 4002-3359/PC635.02 10/620,529 U.S. DEPARTMENT OF COMMERCE (03190.000008)PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) **APPLICANT** (Use several sheets if necessary) Lukas EISERMANN et al. Submitted: March 28, 2008 FILING DATE GROUP 3733 July 16, 2003 U.S. PATENT DOCUMENTS \*EXAMINER DOCUMENT FILING DATE IF APPROPRIATE INITIAL NUMBER DATE NAME CLASS SUBCLASS 5,824,094 10/20/1998 Serhan et al. Sertich 5.800.550 09/01/1998 6,039,763 03/21/2000 **Shelokov** 4,863,476 09/05/1989 Shepperd 5,534,029 07/09/1996 Shima 04/26/1994 Siebels 5,306,310 5.092.893 03/03/1992 Smith

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